

# STABILITY

(Textbook Ch.6)

# Definition of Stability

- Stability is the most important system specification. If a system is unstable, transient response and steady-state errors are moot points.
- An unstable system cannot be designed for a specific transient response or steady-state error requirement.
- There are many definitions for stability, depending upon the kind of system or the point of view. In this section, we limit ourselves to linear, time-invariant systems.

# Definition of Stability

The total response of a system is the sum of the forced and natural responses. Using these concepts, we present the following definitions of stability, instability, and marginal stability:

- A linear, time-invariant system is stable if the natural response approaches zero as time approaches infinity.
- A linear, time-invariant system is unstable if the natural response grows without bound as time approaches infinity.
- A linear, time-invariant system is marginally stable if the natural response neither decays nor grows but remains constant or oscillates as time approaches infinity.

# Definition of Stability

The alternate definition of stability, one that regards the total response and implies the first definition based upon the natural response, is this:

➤ **A system is stable if every bounded input yields a bounded output. We call this statement the bounded-input, bounded-output (BIBO) definition of stability.**

The alternate definition of instability, one that regards the total response, is this:

➤ **A system is unstable if any bounded input yields an unbounded output.**

# The Stability of a Closed-Loop System

If the closed-loop system poles are in the left half of the plane and hence have a negative real part, the system is stable.

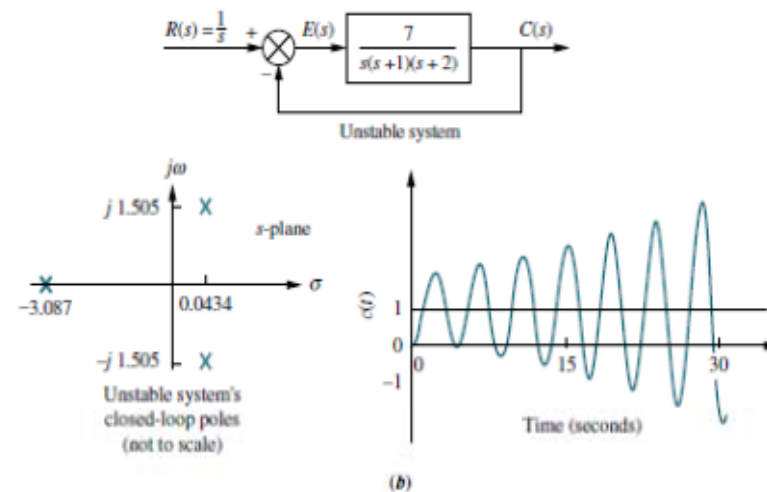
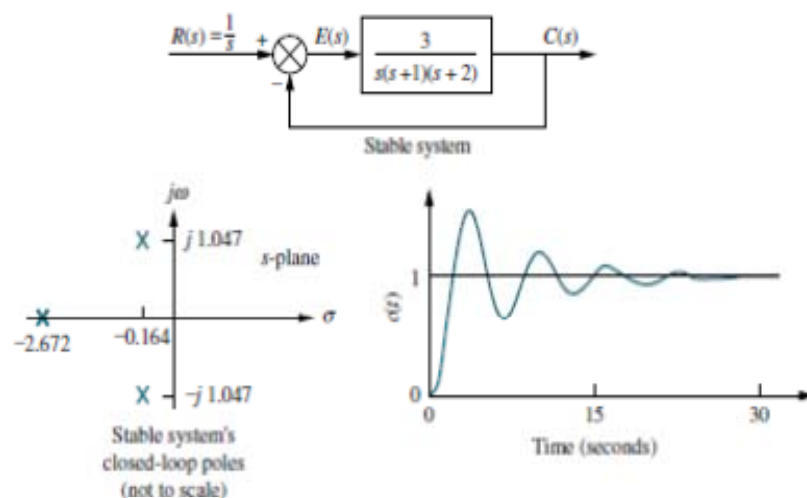
➤ **Stable systems have closed-loop transfer functions with poles only in the left half-plane.**

➤ **Unstable systems have closed-loop transfer functions with at least one pole in the right half-plane and/or poles of multiplicity greater than 1 on the imaginary axis.**

➤ **Marginally stable systems have closed-loop transfer functions with only imaginary axis poles of multiplicity 1 and poles in the left half-plane.**

# The Stability of a Closed-Loop System

- It is not always a simple matter to determine if a feedback control system is stable. Unfortunately, a typical problem that arises is shown in the figures below.
- Although we know the poles of the forward transfer function, we do not know the location of the poles of the equivalent closed-loop system without factoring or otherwise solving for the roots.



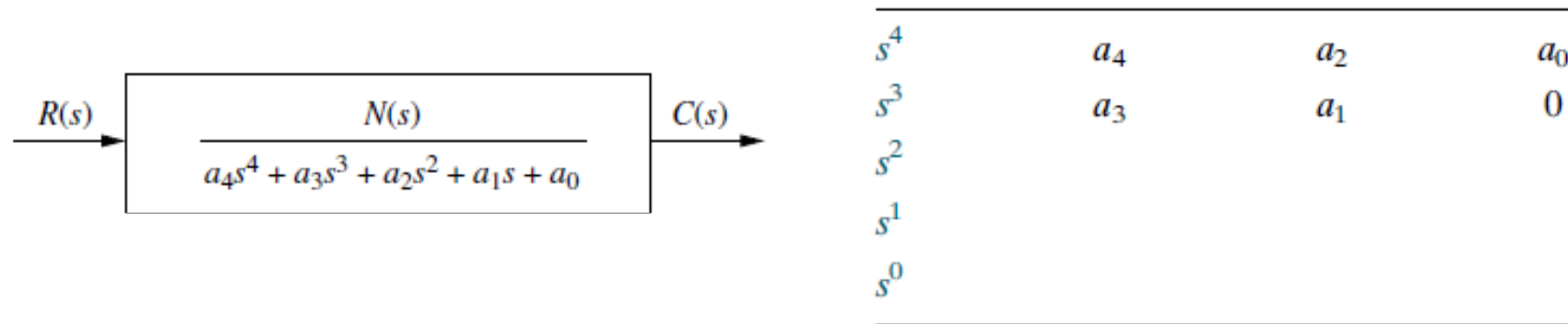
# Routh-Hurwitz Criterion

- Using this method, we can tell how many closed-loop system poles are in the left half-plane, in the right half-plane, and on the  $j\omega$  -axis.
- Notice that we say how many, not where.
- We can find the number of poles in each section of the s-plane, but we cannot find their coordinates.

The method requires two steps:

- Generate a data table called a Routh table
- Interpret the Routh table to tell how many closed-loop system poles are in the left half-plane, the right half-plane, and on the  $j\omega$  -axis.

# Generating Basic Routh Table



- Begin by labeling the rows with powers of  $s$  from the highest power of the denominator of the closed-loop transfer function to  $s^0$ .
- Start with the coefficient of the highest power of  $s$  in the denominator and list, horizontally in the first row, every other coefficient.
- In the second row, list horizontally, starting with the next highest power of  $s$ , every coefficient that was skipped in the first row.



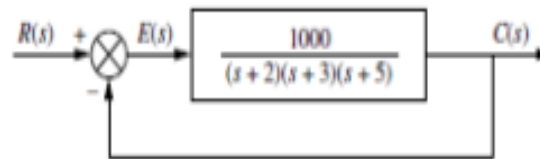
# Generating Basic Routh Table

- The remaining entries are filled in as follows.
  - Each entry is a negative determinant of entries in the previous two rows divided by the entry in the first column directly above the calculated row.
  - The left-hand column of the determinant is always the first column of the previous two rows, and the right-hand column is the elements of the column above and to the right.
  - The table is complete when all of the rows are completed down to  $s^0$ .

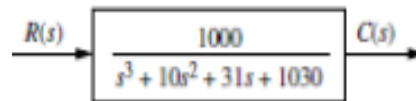
|       |   |   |   |
|-------|---|---|---|
| $s^4$ | $a_4$   | $a_2$   | $a_0$   |
| $s^3$ | $a_3$   | $a_1$   | 0   |
| $s^2$ | $-\frac{\begin{vmatrix} a_4 & a_2 \\ a_3 & a_1 \end{vmatrix}}{a_3} = b_1$ | $-\frac{\begin{vmatrix} a_4 & a_0 \\ a_3 & 0 \end{vmatrix}}{a_3} = b_2$ | $-\frac{\begin{vmatrix} a_4 & 0 \\ a_3 & 0 \end{vmatrix}}{a_3} = 0$ |
| $s^1$ | $-\frac{\begin{vmatrix} a_3 & a_1 \\ b_1 & b_2 \end{vmatrix}}{b_1} = c_1$ | $-\frac{\begin{vmatrix} a_3 & 0 \\ b_1 & 0 \end{vmatrix}}{b_1} = 0$     | $-\frac{\begin{vmatrix} a_3 & 0 \\ b_1 & 0 \end{vmatrix}}{b_1} = 0$ |
| $s^0$ | $-\frac{\begin{vmatrix} b_1 & b_2 \\ c_1 & 0 \end{vmatrix}}{c_1} = d_1$   | $-\frac{\begin{vmatrix} b_1 & 0 \\ c_1 & 0 \end{vmatrix}}{c_1} = 0$     | $-\frac{\begin{vmatrix} b_1 & 0 \\ c_1 & 0 \end{vmatrix}}{c_1} = 0$ |

## Example 6.1

Make the Routh table for the system shown in



We need to find the equivalent closed-loop system because we want to test the denominator of this function, not the given forward transfer function, for pole location.



The Routh-Hurwitz criterion will be applied to this denominator. First label the rows with powers of  $s$  from  $s^3$  down to  $s^0$  in a vertical column, as shown in

|       |   |   |   |
|-------|---|---|---|
| $s^3$ | 1   | 31  | 0   |
| $s^2$ | 10  | 1030  | 0   |
| $s^1$ | $-\frac{\begin{vmatrix} 1 & 31 \\ 1 & 103 \end{vmatrix}}{1} = -72$    | $-\frac{\begin{vmatrix} 1 & 0 \\ 0 & 0 \end{vmatrix}}{1} = 0$     | $-\frac{\begin{vmatrix} 1 & 0 \\ 1 & 0 \end{vmatrix}}{1} = 0$     |
| $s^0$ | $-\frac{\begin{vmatrix} 1 & 103 \\ -72 & 0 \end{vmatrix}}{-72} = 103$ | $-\frac{\begin{vmatrix} 1 & 0 \\ -72 & 0 \end{vmatrix}}{-72} = 0$ | $-\frac{\begin{vmatrix} 1 & 0 \\ -72 & 0 \end{vmatrix}}{-72} = 0$ |

## Example 6.1

- Form the first row of the table, using the coefficients of the denominator of the closed-loop transfer function.
- Start with the coefficient of the highest power and skip every other power of  $s$ .
- Form the second row with the coefficients of the denominator skipped in the previous step.
- For convenience, any row of the Routh table can be multiplied by a positive constant without changing the values of the rows below.
- This can be proved by examining the expressions for the entries and verifying that any multiplicative constant from a previous row cancels out. In the second row, for example, the row was multiplied by  $1/10$ .

# Interpreting Basic Routh Table

- The Routh-Hurwitz criterion declares that the number of roots of the polynomial that are in the right half-plane is equal to the number of sign changes in the first column.
- If the closed-loop transfer function has all poles in the left half of the  $s$ -plane, the system is stable. Thus, a system is stable if there are no sign changes in the first column of the Routh table.

## **Routh-Hurwitz Criterion: Special Cases:**

Two special cases can occur:

- The Routh table sometimes will have a zero only in the first column of a row.
- The Routh table sometimes will have an entire row that consists of zeros.

# Zero Only in the First Column

- If the first element of a row is zero, division by zero would be required to form the next row.
- To avoid this phenomenon, an epsilon,  $\varepsilon$ , is assigned to replace the zero in the first column.
- The value  $\varepsilon$  is then allowed to approach zero from either the positive or the negative side, after which the signs of the entries in the first column can be determined.

## **Example 6.2:**

Determine the stability of the closed-loop transfer function

$$T(s) = \frac{10}{s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 3}$$

## Example 6.2

|       |   |               |   |
|-------|---|---------------|---|
| $s^5$ | 1   | 3             | 5 |
| $s^4$ | 2   | 6             | 3 |
| $s^3$ | <del>0</del> $\epsilon$                                 | $\frac{7}{2}$ | 0 |
| $s^2$ | $\frac{6\epsilon - 7}{\epsilon}$                        | 3             | 0 |
| $s^1$ | $\frac{42\epsilon - 49 - 6\epsilon^2}{12\epsilon - 14}$ | 0             | 0 |
| $s^0$ | 3   | 0             | 0 |

- Begin by assembling the Routh table down to the row where a zero appears only in the first column (the  $s^3$  row).
- Next replace the zero by a small number,  $\epsilon$ , and complete the table.
- To begin the interpretation, we must first assume a sign, positive or negative, for the quantity  $\epsilon$ .

## Example 6.2

| Label | First column  | $\epsilon = +$ | $\epsilon = -$ |
|-------|---|----------------|----------------|
| $s^5$ | 1   | +              | +              |
| $s^4$ | 2   | +              | +              |
| $s^3$ | $-8 - \epsilon$   | +              | -              |
| $s^2$ | $\frac{6\epsilon - 7}{\epsilon}$                        | -              | +              |
| $s^1$ | $\frac{42\epsilon - 49 - 6\epsilon^2}{12\epsilon - 14}$ | +              | +              |
| $s^0$ | 3   | +              | +              |

The table above shows the first column of the previous table along with the resulting signs for choices of  $\epsilon$  positive and  $\epsilon$  negative.

➤ If  $\epsilon$  is chosen positive, the Routh table will show a sign change from the  $s^3$  row to the  $s^2$  row, and there will be another sign change from the  $s^2$  row to the  $s^1$  row.

➤ Hence, the system is unstable and has two poles in the right half-plane.

## Entire Row is Zero

➤ Sometimes while making a Routh table, we find that an entire row consists of zeros because there is an even polynomial that is a factor of the original polynomial.

### **Example 6.4:**

Determine the number of right-half-plane poles in the closed-loop transfer function

$$T(s) = \frac{10}{s^5 + 7s^4 + 6s^3 + 42s^2 + 8s + 56}$$



## Example 6.4

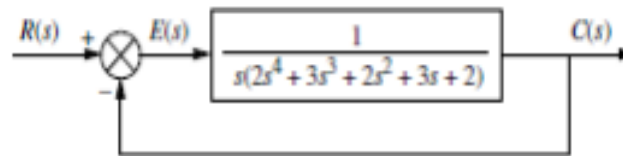
|       |              |               |               |              |               |   |
|-------|--------------|---------------|---------------|--------------|---------------|---|
| $s^5$ |              | 1             |               | 6            |               | 8 |
| $s^4$ | <del>7</del> | 1             | <del>42</del> | 6            | <del>56</del> | 8 |
| $s^3$ | <del>0</del> | <del>4</del>  | 1             | <del>0</del> | <del>12</del> | 3 |
| $s^2$ |              | 3             |               | 8            |               | 0 |
| $s^1$ |              | $\frac{1}{3}$ |               | 0            |               | 0 |
| $s^0$ |              | 8             |               | 0            |               | 0 |

- At the second row we multiply through by 1/7 for convenience.
- We stop at the third row, since the entire row consists of zeros, and use the following procedure.
  - We return to the row immediately above the row of zeros and form an auxiliary polynomial, using the entries in that row as coefficients.
  - The polynomial will start with the power of  $s$  in the label column and continue by skipping every other power of  $s$ .
  - The polynomial formed for this example is  $P(s) = s^4 + 6s^2 + 8$
  - We differentiate the polynomial with respect to  $s$  and obtain  $\frac{dP(s)}{ds} = 4s^3 + 12s$

Finally, we use the coefficients to replace the row of zeros. For convenience, the third row is multiplied by 1/4 after replacing the zeros.

## Example 6.7

Find the number of poles in the left half-plane, the right half-plane, and on the  $j\omega$ -axis for the system of



The closed-loop transfer function is  $T(s) = \frac{1}{2s^5 + 3s^4 + 2s^3 + 3s^2 + 2s + 1}$

|       |  |            |               |
|-------|--|------------|---------------|
| $s^5$ | 2  | 2          | 2             |
| $s^4$ | 3  | 3          | 1             |
| $s^3$ | $-\theta$  | $\epsilon$ | $\frac{4}{3}$ |
| $s^2$ | $\frac{3\epsilon - 4}{\epsilon}$                       | 1          |               |
| $s^1$ | $\frac{12\epsilon - 16 - 3\epsilon^2}{9\epsilon - 12}$ |            |               |
| $s^0$ | 1  |            |               |

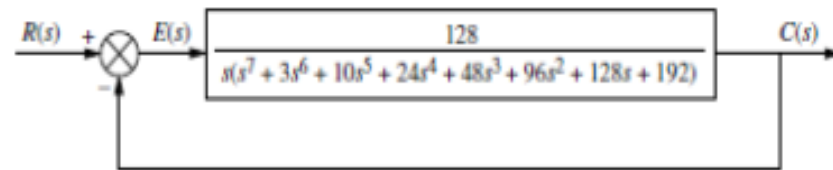
➤ A zero appears in the first column of the  $s^3$  row. Replace the zero with a small quantity,  $\epsilon$ , and continue the table.

➤ Permitting  $\epsilon$  to be a small, positive quantity, we find that the first term of the  $s^2$  row is negative.

➤ There are two sign changes, and the system is unstable, with two poles in the right half-plane. The remaining poles are in the left half-plane.

## Example 6.8

Find the number of poles in the left half-plane, the right half-plane, and on the  $j\omega$ -axis for the system



The closed-loop transfer function for the system is

$$T(s) = \frac{128}{s^8 + 3s^7 + 10s^6 + 24s^5 + 48s^4 + 96s^3 + 128s^2 + 192s + 128}$$

|       |                             |                               |                               |                             |     |
|-------|-----------------------------|-------------------------------|-------------------------------|-----------------------------|-----|
| $s^8$ | 1                           | 10                            | 48                            | 128                         | 128 |
| $s^7$ | <del>3</del> 1              | <del>24</del> 8               | <del>96</del> 32              | <del>192</del> 64           |     |
| $s^6$ | <del>2</del> 1              | <del>16</del> 8               | <del>64</del> 32              | <del>128</del> 64           |     |
| $s^5$ | <del>0</del> <del>6</del> 3 | <del>0</del> <del>32</del> 16 | <del>0</del> <del>64</del> 32 | <del>0</del> <del>0</del> 0 |     |
| $s^4$ | <del>8</del> <u>3</u> 1     | <del>64</del> <u>3</u> 8      | <del>64</del> 24              |                             |     |
| $s^3$ | <del>8</del> -1             | <del>40</del> -5              |                               |                             |     |
| $s^2$ | <del>3</del> 1              | <del>24</del> 8               |                               |                             |     |
| $s^1$ | 3                           |                               |                               |                             |     |
| $s^0$ | 8                           |                               |                               |                             |     |

## Example 6.8

➤ A row of zeros appears in the  $s^5$  row. Thus, the closed-loop transfer function denominator must have an even polynomial as a factor. Return to the  $s^6$  row and form the even polynomial:

$$P(s) = s^6 + 8s^4 + 32s^2 + 64$$

➤ Differentiate this polynomial with respect to  $s$  to form the coefficients that will replace the row of zeros:

$$\frac{dP(s)}{ds} = 6s^5 + 32s^3 + 64s$$

➤ Replace the row of zeros at the  $s^5$  row by the coefficients and multiply through by  $1/2$  for convenience.

➤ There are two sign changes from the even polynomial at the  $s^6$  row down to the end of the table. Hence, the even polynomial has two right-half-plane poles.

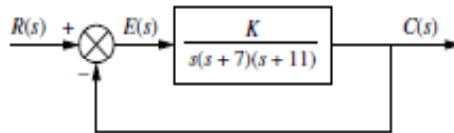
➤ Because of the symmetry about the origin, the even polynomial must have an equal number of left-half-plane poles. Therefore, the even polynomial has two left-half-plane poles.

➤ Since the even polynomial is of sixth order, the two remaining poles must be on the  $j\omega$ -axis.

➤ There are no sign changes from the beginning of the table down to the even polynomial at the  $s^6$  row, the rest of the polynomial has no right-half plane poles.

## Example 6.9

- Find the range of gain,  $K$ , for the system of



that will cause the system to be stable, unstable, and marginally stable. Assume  $K > 0$ .

- First find the closed-loop transfer function as

$$T(s) = \frac{K}{s^3 + 18s^2 + 77s + K}$$

- Next form the Routh table shown as

|       |                       |     |
|-------|-----------------------|-----|
| $s^3$ | 1                     | 77  |
| $s^2$ | 18                    | $K$ |
| $s^1$ | $\frac{1386 - K}{18}$ |     |
| $s^0$ | $K$                   |     |

- Since  $K$  is assumed positive, we see that all elements in the first column are always positive except the  $s^1$  row.
- This entry can be positive, zero, or negative, depending upon the value of  $K$ .

## Example 6.9

- If  $K < 1386$ , all terms in the first column will be positive, and since there are no sign changes, the system will have three poles in the left half-plane and be stable.
- If  $K > 1386$ , the  $s^1$  term in the first column is negative. There are two sign changes, indicating that the system has two right-half-plane poles and one left-half-plane pole, which makes the system unstable.
- If  $K = 1386$ , we have an entire row of zeros, which could signify  $j\omega$  poles. Returning to the  $s^2$  row and replacing  $K$  with 1386, we form the even polynomial  $P(s) = 18s^2 + 1386$
- Differentiating with respect to  $s$ , we have  $\frac{dP(s)}{ds} = 36s$

## Example 6.9

➤ Replacing the row of zeros with the coefficients, we obtain the Routh-Hurwitz table shown as

|       |             |      |
|-------|-------------|------|
| $s^3$ | 1           | 77   |
| $s^2$ | 18          | 1386 |
| $s^1$ | $\theta$ 36 |      |
| $s^0$ | 1386        |      |

for the case of  $K = 1386$ .

➤ Since there are no sign changes from the even polynomial ( $s^2$  row) down to the bottom of the table, the even polynomial has its two roots on the  $j\omega$ -axis of unit multiplicity.

➤ Since there are no sign changes above the even polynomial, the remaining root is in the left half-plane. Therefore the system is marginally stable.