

Ch. 11: Precession of the Perihelion (of Mercury)
 perihelion: the point in the path of a celestial body (as a planet) that is nearest to the sun (opposite aphelion)

Calculating the shape of an orbit:

We found for equatorial orbits: $r^2 \frac{d\phi}{dz} = l$, and $\tilde{E} = \frac{1}{2} \left(\frac{dr}{dz} \right)^2 - \frac{GM}{c^2 r} + \frac{l^2}{2c^2 r^2} - \frac{GM l^2}{c^4 r^3}$

Note that $\frac{dr}{dz} = \frac{dr}{d\phi} \frac{d\phi}{dz} + \frac{dr}{d\theta} \frac{d\theta}{dz}$; ($\theta = \frac{\pi}{2}$)

$\Rightarrow \frac{dr}{dz} = \frac{dr}{d\phi} \frac{l}{r^2}$ Substituting this in the eqn for $\tilde{E}$ we get

$$\tilde{E} = \frac{1}{2} \left(\frac{dr}{d\phi} \right)^2 \frac{l^2}{c^2 r^4} - \frac{GM}{c^2 r} + \frac{l^2}{2c^2 r^2} - \frac{GM l^2}{c^4 r^3}$$

Define $u \equiv \frac{1}{r}$, $\frac{du}{d\phi} = \frac{du}{dr} \frac{dr}{d\phi} = -\frac{1}{r^2} \frac{dr}{d\phi} = -u^2 \frac{dr}{d\phi}$

$$\Rightarrow \frac{dr}{d\phi} = -\frac{1}{u^2} \frac{du}{d\phi}$$

$$\tilde{E} = \frac{1}{2} \left(\frac{1}{u^2} \right) \left(\frac{du}{d\phi} \right)^2 \frac{l^2}{c^2} - u \frac{GM}{c^2} + \frac{l^2}{2c^2} u^2 - \frac{GM l^2}{c^4} u^3$$

Taking the derivative wrt ϕ :

$$\frac{du}{d\phi} \frac{d^2 u}{d\phi^2} \frac{l^2}{c^2} - 0 \frac{du}{d\phi} \frac{GM}{c^2} + \frac{l^2}{c^2} u \frac{du}{d\phi} - 3 \frac{GM l^2}{c^4} u^2 \frac{du}{d\phi} = 0$$

$$\frac{d^2 u}{d\phi^2} \frac{l^2}{c^2} - \frac{GM}{c^2} + \frac{l^2}{c^2} u - \frac{3GM l^2}{c^4} u^2 = 0$$

$$\frac{d^2 u}{d\phi^2} + u = \frac{GM}{l^2} + 3 \frac{GM}{c^2} u^2 \quad (\text{Schwarzschild}) (*)$$

$$\frac{d^2 u}{d\phi^2} + u = \frac{GM}{l^2} \quad (\text{Newtonian})$$

Perturbation Calculation:

The Schwarzschild orbit equation (*) cannot be solved analytically.

Perturbatively: Consider a circular orbit with a constant radius r_c . $u_c = \frac{1}{r_c}$ must satisfy the orbit

equation (*): $\frac{d^2 u_c}{d\phi^2} + u_c = \frac{GM}{l^2} + 3 \frac{GM}{c^2} u_c^2$

Consider a perturbation of this orbit that is slightly non-circular:

$$u(\phi) = u_c + u_c w(\phi), \quad \text{where } w(\phi) \ll 1$$

$$= u_c(1+w)$$

Inserting $u(\phi)$ into the above equation (*):

$$\frac{d^2 u_c(1+w)}{d\phi^2} + u_c \frac{d^2 w}{d\phi^2} + u_c(1+w) = \frac{GM}{l^2} + \frac{3GM}{c^2} u_c^2 (1+w)^2$$

$$u_c \frac{d^2 w}{d\phi^2} + u_c w + u_c = \frac{GM}{l^2} + \frac{3GM}{c^2} u_c^2 + \frac{3GM}{c^2} u_c^2 w^2$$

$$\frac{d^2 w}{d\phi^2} + w = \frac{6GM}{c^2} u_c w + \frac{3GM}{c^2} u_c w^2$$

$$\Rightarrow \frac{d^2 w}{d\phi^2} + w(1 - \frac{6GM}{c^2} u_c) \approx 0$$

negligible
because $w^2 \ll 1$

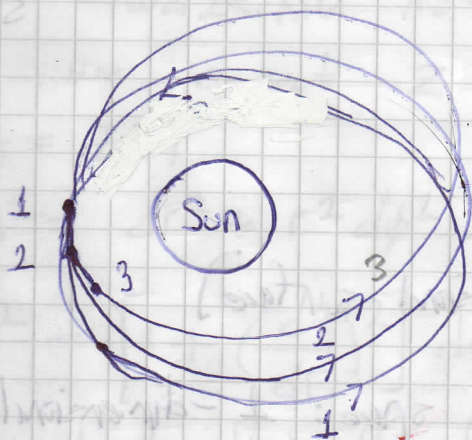
Solution: $W(\phi) = A \cos(\omega\phi + \phi_0)$, where $\omega = (1 - \frac{6GM}{c^2 r_c})^{1/2}$
and A and ϕ_0 are constants.

The orbit's perihelion occurs when $W(\phi)$ is a minimum, that is when $(\omega\phi + \phi_0) = \pi + 2\pi n$, $n=0, 1, 2, \dots$

$$\begin{array}{lll} \text{1st perihelion: } n=0 & \omega\phi_1 + \phi_0 = \pi & \\ \text{2nd } n=1 & \omega\phi_2 + \phi_0 = 3\pi & \\ \text{3rd } n=2 & \omega\phi_3 + \phi_0 = 5\pi & \end{array} \left. \begin{array}{l} \\ \\ \end{array} \right\} \omega\Delta\phi = 2\pi$$

$$\Rightarrow \Delta\phi = \frac{2\pi}{\omega} = \left(1 - \frac{6GM}{c^2 r_c}\right)^{-1/2} 2\pi \approx \left(1 + \frac{3GM}{c^2 r_c}\right) 2\pi$$

$$\approx 2\pi + \frac{6\pi GM}{c^2 r_c}, \quad \left(\frac{6GM}{c^2 r_c} \ll 1 \text{ has been assumed}\right)$$



$$1 \text{ rad} = 206265 \text{ arc-sec}$$

For Mercury: $r_c \approx 57.9 \times 10^9 \text{ m}$

For Sun: $\frac{GM}{c^2} = 1477 \text{ m}$

$$\delta = \frac{6\pi GM}{c^2 r_c} = \frac{6(3.14)(1477)}{5.79 \times 10^{10}} \text{ rad} = 4806 \times 10^{-10} \text{ rad}$$

$T_{\text{Mercury}} = 0.241 \text{ y}$; $\frac{T_{\text{century}}}{T_{\text{Mercury}}} = \text{\# of turns}$

$$T_{\text{Mercury}} = 415$$

$$\delta = 41.1 \text{ arc-sec/century}$$

The Effect of Spatial Curvature: The precession arises both because $g_{tt} = -\left(1 - \frac{2GM}{c^2 r}\right) \neq 1$ and $g_{rr} = \left(1 - \frac{2GM}{c^2 r}\right)^{-1} \neq 1$

At a given time t :

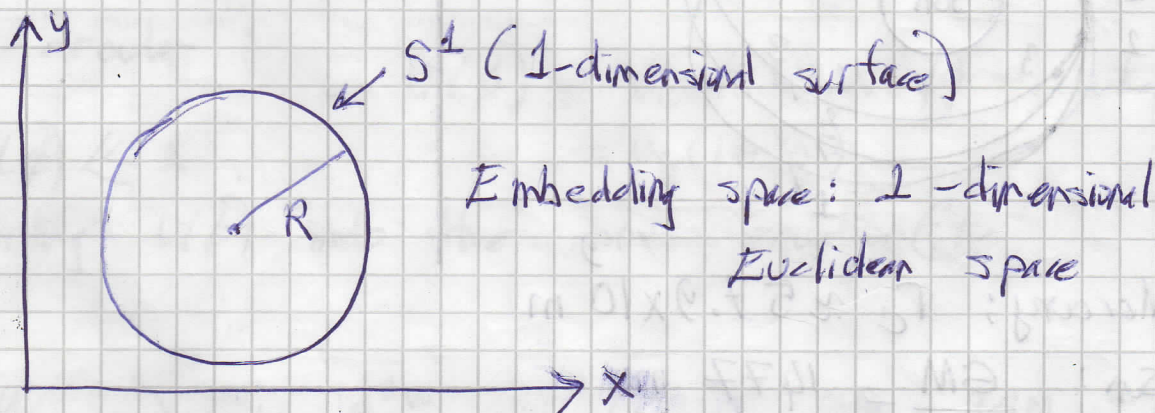
$$dt=0 \quad ds^2 = ds_{\text{spatial}}^2 = \left(1 - \frac{2GM}{c^2 r}\right)^{-1} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$$

Since the orbits remain in the equatorial plane, we can take $\theta = \frac{\pi}{2}$ and $d\theta = 0$.

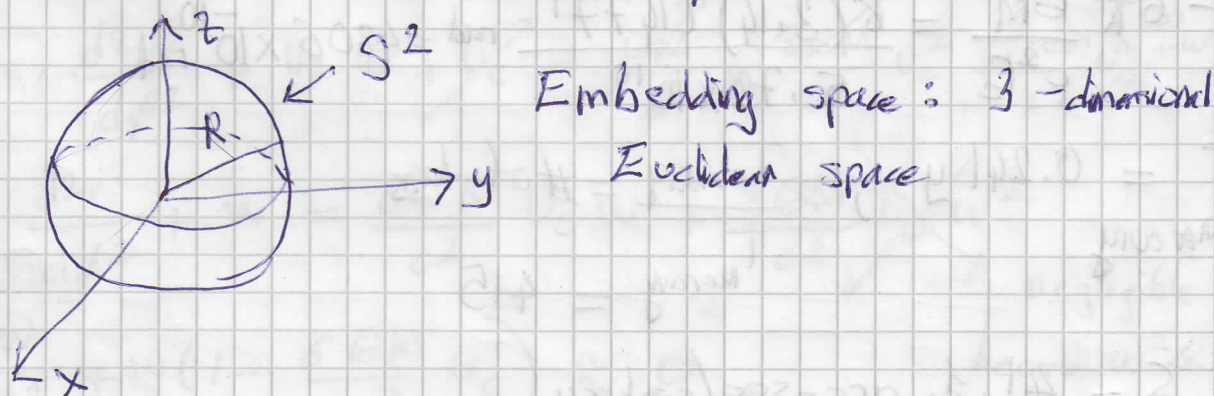
$$\Rightarrow ds^2 = \left(1 - \frac{2GM}{c^2 r}\right)^{-1} dr^2 + r^2 d\phi^2 \quad \leftarrow \text{curved space}$$

Side Remark

i) $ds^2 = R^2 d\phi^2$



ii) $ds^2 = R^2 d\theta^2 + R^2 \sin^2 \theta d\phi^2$



ii) Schwarzschild equatorial plane:

$$ds^2 = \frac{dr^2}{\left(1 - \frac{2GM}{c^2 r}\right)} + r^2 d\phi^2 \quad (\times \times \times)$$

Embedding space: 3-dimensional Euclidean space labeled by polar coordinates (r, ϕ, z)

$$ds^2 = dr^2 + r^2 d\phi^2 + dz^2$$

In this 3-dimensional space, a two-dimensional surface can be described by specifying the height z as a function of r and ϕ .

Since Schwarzschild metric is symmetric under rotations in ϕ , we expect z to be a function of r only.

$$z = z(r)$$

$$\Rightarrow ds^2 = dr^2 + r^2 d\phi^2 + \left(\frac{dz}{dr}\right)^2 dr^2$$

$$ds^2 = \left[1 + \left(\frac{dz}{dr}\right)^2\right] dr^2 + r^2 d\phi^2$$

We want to find the function $z(r)$ that makes the metric of this surface match the metric in $(\times \times \times)$.

$$1 + \left(\frac{dz}{dr}\right)^2 = \left(1 - \frac{2GM}{c^2 r}\right)^{-1}$$

$$\frac{dz}{dr} = \pm \sqrt{\frac{1}{\left(1 - \frac{2GM}{c^2 r}\right)} - 1}$$

$$\int dz = \pm \int \sqrt{\frac{1}{\left(1 - \frac{2GM}{c^2 r}\right)} - 1} dr$$

$$z = \pm \int \sqrt{\frac{\frac{2GM}{c^2 r}}{\left(1 - \frac{2GM}{c^2 r}\right)}} dr$$

$$= \pm \sqrt{\frac{2GM}{c^2}} \int \sqrt{\frac{1}{r - \frac{2GM}{c^2}}} dr$$

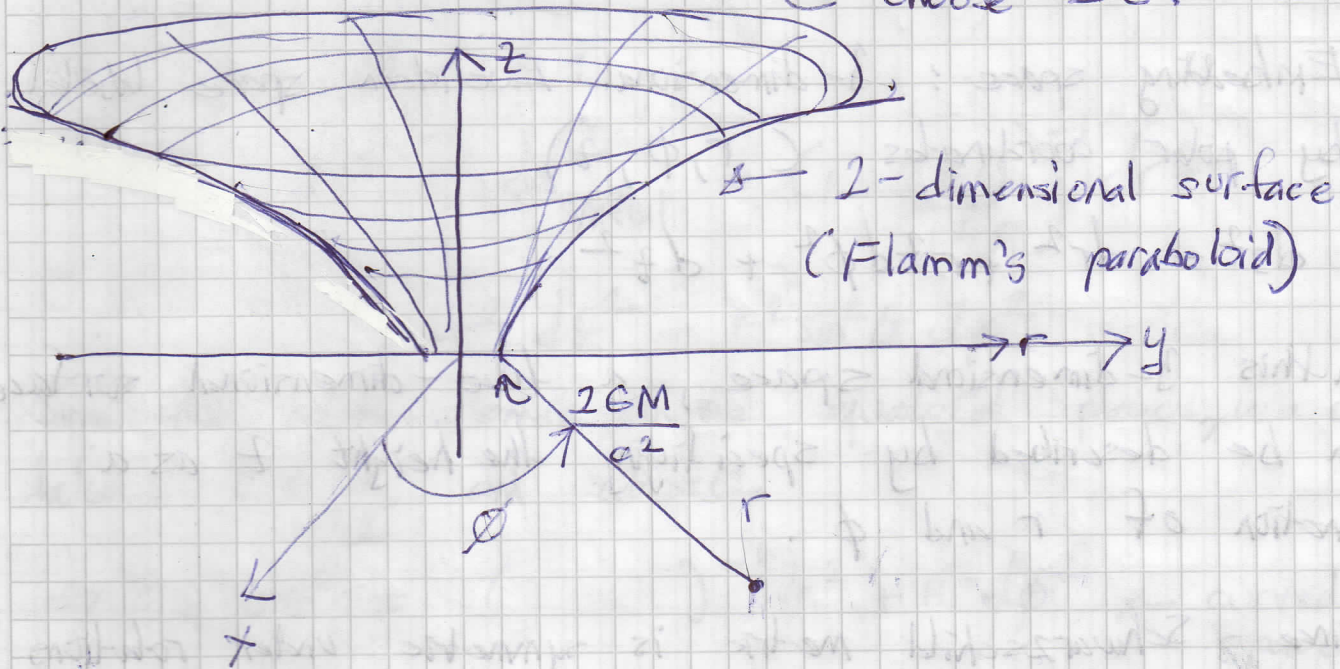
$$x \equiv r - \frac{2GM}{c^2}$$

$$dx = dr$$

$$z = \pm \sqrt{\frac{2GM}{c^2}} \int x^{-1/2} dx = \pm \sqrt{\frac{x^{1/2}}{1/2}} + \text{const},$$

$$z(r) = \pm \sqrt{\frac{8GM}{c^2}} \sqrt{r - \frac{2GM}{c^2}} + \text{const},$$

choose = 0.



Ch. 12 Photon Orbits

The proper time along a photon worldline is zero.

To overcome this problem we let $m \rightarrow 0$ in the equations for massive particles.

The impact parameter b :

$$b = \frac{l}{ec} = \frac{r^2 \left(\frac{d\phi}{d\tau} \right)}{c \left(1 - \frac{2GM}{c^2 r} \right) \frac{dt}{d\tau}} = r^2 \left(1 - \frac{2GM}{c^2 r} \right)^{-1} \times \frac{d\phi}{cdt}$$

$$[b] = L_{\text{length}}$$

b remains well defined as $m \rightarrow 0$

In flat space $b = r^2 \frac{d\phi}{cdt}$

note $[b] = L$

$$0 = -c^2 d\tau^2 = ds^2 = - \left(1 - \frac{2GM}{c^2 r} \right) c^2 dt^2 + \left(1 - \frac{2GM}{c^2 r} \right)^{-1} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$$

for photons only

At $\theta = \frac{\pi}{2}$: Divide by $\left(1 - \frac{2GM}{c^2 r} \right) c^2 dt^2$

$$0 = -1 + \left(1 - \frac{2GM}{c^2 r} \right)^{-2} \left(\frac{dr}{cdt} \right)^2 + r^2 \frac{1}{\left(1 - \frac{2GM}{c^2 r} \right)} \left(\frac{d\phi}{cdt} \right)^2$$

$$-1 + \left(1 - \frac{2GM}{c^2 r} \right)^{-2} \left(\frac{dr}{cdt} \right)^2 + r^2 \frac{1}{\left(1 - \frac{2GM}{c^2 r} \right)} \frac{1}{r^4} \frac{b^2}{\left(1 - \frac{2GM}{c^2 r} \right)^2} = 0$$

$$1 = \left(1 - \frac{2GM}{c^2 r} \right)^{-2} \left(\frac{dr}{cdt} \right)^2 + \frac{b^2}{r^2 \left(1 - \frac{2GM}{c^2 r} \right)}$$

Dividing by b^2 :

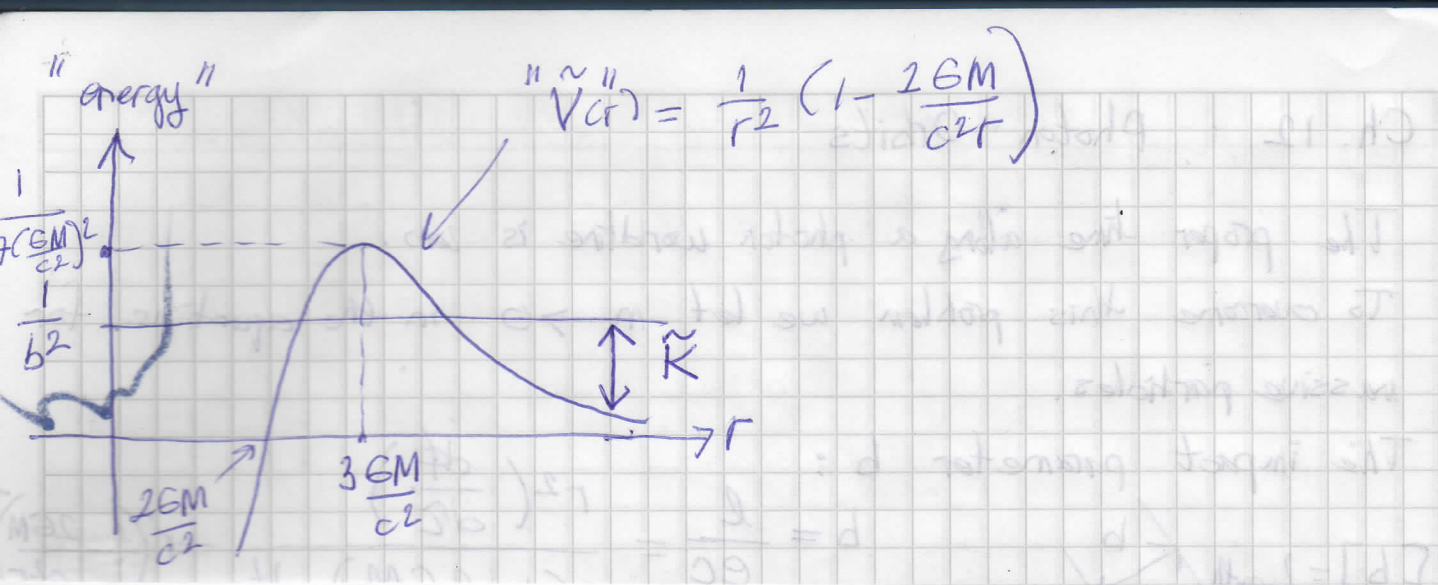
$$\frac{1}{b^2} = \left[\frac{1}{b} \left(1 - \frac{2GM}{c^2 r} \right)^{-1} \frac{dr}{cdt} \right]^2 + \frac{1}{r^2} \left(1 - \frac{2GM}{c^2 r} \right)$$

(In flat space: $\frac{1}{b^2} = \left[\frac{1}{b} \left(\frac{dr}{cdt} \right) \right]^2 + \frac{1}{r^2}$)

This equation has the form of a conservation equation (of energy)

$$K = \frac{1}{b} \left(1 - \frac{2GM}{c^2 r} \right)^{-1} \frac{dr}{cdt}; \quad V = \frac{1}{r^2} \left(1 - \frac{2GM}{c^2 r} \right)$$

equation of radial motion for photons



Ch. 13

Deflection of Light

In the last chapter, we obtained the impact parameter b

$$b = \frac{l}{ec} = \frac{r^2 (d\phi/d\tau)}{c(1 - \frac{2GM}{c^2 r}) (dt/d\tau)} = r^2 \left(1 - \frac{2GM}{c^2 r}\right)^{-1} \frac{d\phi}{cdt}$$

$$\Rightarrow \frac{d\phi}{cdt} = \frac{b}{r^2} \left(1 - \frac{2GM}{c^2 r}\right), \quad (*)$$

Also, $1 = \left(1 - \frac{2GM}{c^2 r}\right)^{-2} \left(\frac{dr}{cdt}\right)^2 + \frac{b^2}{r^2} \left(1 - \frac{2GM}{c^2 r}\right) \quad (**)$
 $(**)$ is valid for photons only!
 We obtain an equation for $U(\phi) = \frac{1}{r}$ from $(*)$:

$$\frac{dr}{cdt} = \frac{dr}{du} \frac{du}{d\phi} \frac{d\phi}{cdt}$$

$$\frac{dr}{du} = \left(\frac{du}{dr}\right)^{-1} = \left(-\frac{1}{r^2}\right)^{-1} = -r^2$$

$$\Rightarrow \frac{dr}{cdt} = -r^2 \frac{b}{r^2} \left(1 - \frac{2GM}{c^2 r}\right) \frac{du}{d\phi}$$

substituting this into $(**)$ we get

$$1 = \left(1 - \frac{2GM}{c^2 r}\right)^{-2} \cdot b^2 \left(1 - \frac{2GM}{c^2 r}\right)^2 \left(\frac{du}{d\phi}\right)^2 + \frac{b^2}{r^2} \left(1 - \frac{2GM}{c^2 r}\right)$$

$$1 = b^2 \left(\frac{du}{d\phi}\right)^2 + b^2 u^2 - \frac{2GM}{c^2} u^3 b^2$$

Multiplying by $\frac{1}{b^2}$:

$$\frac{1}{b^2} = \left(\frac{du}{d\phi}\right)^2 + u^2 - \frac{2GM}{c^2} u^3$$

$$\text{or, } \left(\frac{du}{d\phi}\right)^2 + u^2 = \frac{1}{b^2} + \frac{2GM}{c^2} u^3$$

Taking the derivative of both sides wrt ϕ yields:

$$2 \frac{du}{d\phi} \frac{d^2u}{d\phi^2} + 2u \frac{du}{d\phi} = \frac{6GM}{c^2} u^2 \frac{du}{d\phi}$$

$$\Rightarrow \frac{d^2u}{d\phi^2} + u = 3 \frac{GM}{c^2} u^2 \quad ; \text{ for photons only } (\neq \neq \neq)$$

Perturbation Solution:

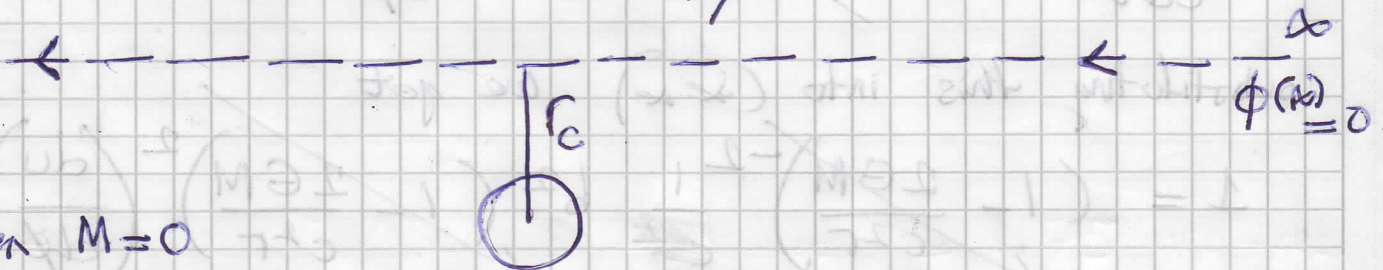
If there were no gravitating object at the origin then $M=0$:

$$\frac{d^2u}{d\phi^2} + u = 0$$

$$\Rightarrow u_{zg}(\phi) = u_c \sin\phi = \frac{\sin\phi}{r_c} = \frac{1}{r_{zg}}$$

zero gravity

$$\Rightarrow r_{zg} = \frac{r_c}{\sin\phi}$$



When $M=0$

Photon comes in from ∞ ($\phi=0$)

For $r_c \gg \frac{2GM}{c^2}$ the photon's actual path will not differ much from the $M=0$ case.

Define

$$u(\phi) = u_c \sin\phi + u_c w(\phi) \quad \text{unitless, } w(\phi) \ll 1$$

$$\frac{du}{d\phi} = u_c \cos\phi + u_c \frac{dw}{d\phi}$$

$$\frac{d^2u}{d\phi^2} = -u_c \sin\phi + u_c \frac{d^2w}{d\phi^2} \quad \text{Then } (\neq \neq \neq) \text{ becomes}$$

$$-\cancel{U_c \sin \phi} + U_c \frac{d^2 W}{d\phi^2} + \cancel{U_c \sin \phi} + U_c W = \frac{3GM}{c^2} \left(U_c^2 \sin^2 \phi + \underbrace{2U_c^2 \sin \phi W + U_c^2 W^2}_{\text{negligible compared to } U_c^2 \sin^2 \phi} \right)$$

Dividing by $U_c \Rightarrow$

$$\frac{d^2 W}{d\phi^2} + W = \epsilon \sin^2 \phi = \frac{\epsilon}{2} (1 - \cos 2\phi), \text{ where}$$

$$\epsilon = \frac{3GM}{c^2} U_c = \frac{3GM}{c^2 r_0}$$

Try a solution of the form $W(\phi) = A + B \cos 2\phi$

$$\frac{dW}{d\phi} = -2B \sin 2\phi, \quad \frac{d^2 W}{d\phi^2} = -4B \cos 2\phi$$

$$-4B \cos 2\phi + A + B \cos 2\phi = \frac{\epsilon}{2} - \frac{\epsilon}{2} \cos 2\phi$$

$$\cos 2\phi \left(-3B + \frac{\epsilon}{2} \right) + A = \frac{\epsilon}{2} \Rightarrow A = \frac{\epsilon}{2}; \quad \frac{\epsilon}{2} = 3B$$

$$\cancel{\cos \theta = 1 + \theta^2} = \cancel{1 + f(\theta)} \Rightarrow$$

$$\cancel{\left(-3B + \frac{\epsilon}{2} \right) + f(\theta) \left(-3B + \frac{\epsilon}{2} \right) + A = \frac{\epsilon}{2} = 0}$$

$$\Rightarrow W(\phi) \approx \frac{\epsilon}{2} + \frac{\epsilon}{6} \cos 2\phi$$

$$\Rightarrow U(\phi) \approx \frac{1}{r_0} \left[\sin \phi + \frac{3GM}{2c^2 r_0} \left(1 + \frac{1}{3} \cos 2\phi \right) \right]$$

Now r does not become ∞ at $\phi=0$. Rather r becomes ∞ at some angle ϕ_0 such that

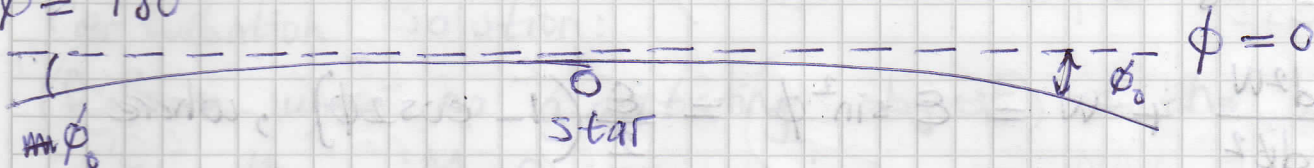
$$U(\phi_0) = 0 \Rightarrow$$

$$0 = \sin \phi_0 + \frac{3GM}{2c^2 \Gamma_c} \left(1 + \frac{1}{3} \cos 2\phi_0 \right)$$

small-angle approximation: $\sin \phi_0 \approx \phi_0$, $\cos 2\phi_0 \approx 1$

$$\Rightarrow 0 \approx \phi_0 + \frac{3GM}{2c^2 \Gamma_c} \cdot \frac{4}{3} \Rightarrow \phi_0 \approx -\frac{2GM}{c^2 \Gamma_c}$$

$$\phi = 180^\circ$$



$$\text{Total amount of deflection } \delta \approx 2|\phi_0| = \frac{4GM}{c^2 \Gamma_c}$$

$$\text{Taking } \Gamma_c = R_\odot = 6.96 \times 10^8 \text{ m}$$

$$\frac{GM_\odot}{c^2} = 1477 \text{ m}$$

$$\delta = 8.49 \times 10^{-6} \text{ rad}$$

$$2\pi \text{ rad} = 360^\circ, \quad 1 \text{ rad} = \frac{180^\circ}{\pi}$$

$$1^\circ = 3600 \text{ arc-sec.}$$

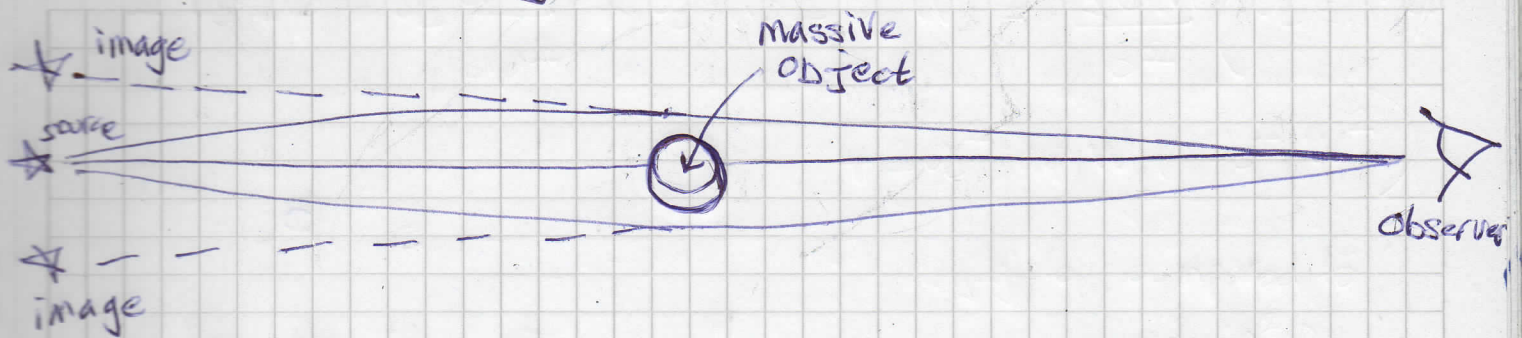
$$\delta = 8.49 \times 10^{-6} \times \frac{180}{3.14} \times 3600 \text{ arc-sec.} = 1.75 \text{ arc-sec.}$$

This was measured by Eddington in 1919

$$\delta = \begin{cases} 1.98'' \pm 0.16 & \text{Sobral data} \\ 1.61'' \pm 0.4 & \text{Principe data} \end{cases}$$

With the announcement of these results Einstein became a world celebrity...

Gravitational Lensing :



- * If the source is not directly behind the gravitating body the observer sees two images of the source due to the deflection of light
- * If the source is directly behind the gravitating body the observer sees a ring around the lensing body (= gravitating body)